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**FAX COVER SHEET**

To	COMPANY	PHONE	Fax
Ms. Sonja Williams	USPTO	(703)305-2272	(703)308-3691

  

FROM:	Matthew K. Blackburn	DATE:	March 2, 2005
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CONFIRM:		PAGES (INCLUDING COVER):	13

**COMMENTS:****URGENT**

RE: U.S. Patent Appl. Ser. No. 09/259,770  
~~09/295,668~~

As you requested, attached is a revised version of Applicants' 11/22/04 Amendment (Claim Amendments Section only) which uses only the USPTO specified claim identifiers.

Please call me if I can be of any further assistance.

THE DOCUMENT(S) ACCOMPANYING THIS FACSIMILE TRANSMISSION CONTAINS INFORMATION FROM THE LAW FIRM OF MORGAN & FINNEGAN, L.L.P. WHICH IS CONFIDENTIAL AND/OR LEGALLY PRIVILEGED. THE INFORMATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ON THIS TRANSMISSION SHEET. IF YOU ARE NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISCLOSURE, COPYING, DISTRIBUTION OR THE TAKING OF ANY ACTION IN RELIANCE ON THE CONTENTS OF THIS FAXED INFORMATION IS STRICTLY PROHIBITED, AND THE DOCUMENT(S) SHOULD BE RETURNED TO THIS FIRM IMMEDIATELY. IF YOU HAVE RECEIVED THIS FACSIMILE IN ERROR, PLEASE NOTIFY US BY TELEPHONE IMMEDIATELY SO THAT WE CAN ARRANGE FOR THE RETURN OF THE ORIGINAL DOCUMENTS AT NO COST TO YOU.

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FROM:	Matthew K. Blackburn	DATE:	March 2, 2005
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**COMMENTS:****URGENT**

RE: U.S. Patent Appl. Ser. No. 09/295,770

As you requested, attached is a signature page and a certificate of facsimile transmittal. Please call me if I can be of any further assistance.

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PATENTDocket No. 3635-4000**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No. : 09/259,770 Confirmation : 5669  
Applicant(s) : Stephen J. Hodgdon  
Filed : March 1, 1999  
Title : THE SELIGMAN HARVESTER RISK MANAGEMENT SYSTEM FOR  
ACHIEVING A RECOMMENDED ASSET ALLOCATION AND  
WITHDRAWAL STRATEGY  
Art Unit : 3624  
Examiner : Jagdish PATEL  
Docket No. : 3635-4000  
Customer No. : 27123

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF FACSIMILE TRANSMISSION**

Sir:

I hereby certify that the attached Amendment under 37 C.F.R. 1.111 is being  
transmitted on the date shown below to the USPTO (Ms. Sonja Williams) at the following  
facsimile number: (703)308-3691.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: March 2, 2005By: 

Matthew K. Blackburn  
Registration No. 47,428

**Correspondence Address:**

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